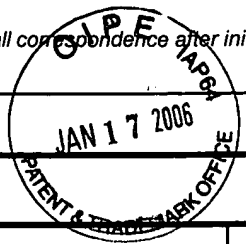


THW 1621

| | | | |
|---|--|------------------------|---------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/576,370 |
| | | Filing Date | May 22, 2000 |
| | | First Named Inventor | Robert H. Grubbs et al. |
| | | Art Unit | 1621 |
| | | Examiner Name | Porfirio Nazario Gonzalez |
| Mail Stop | | Attorney Docket Number | 1950-0010 |



ENCLOSURES (Check all that apply)

| | | | | | | | | | | | | | | | | | | |
|---|--|---|--------------|--------------|--------|---------------|--------------|--|---------------|--|--------------------|--|-------|--|--|--|--|--|
| <input checked="" type="checkbox"/> No fee due <input type="checkbox"/> Fee(s) due: \$ _____ <input type="checkbox"/> Check enclosed <input type="checkbox"/> Charge Deposit Account No. 18-0580 <input type="checkbox"/> 37 CFR § 1.16 <input type="checkbox"/> 37 CFR § 1.17 <input checked="" type="checkbox"/> The Commission is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) - _____ Affidavits/declaration(s) <input type="checkbox"/> ___-Month Extension of Time | <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Information Disclosure Statement & PTO-1449 Form(s) <input type="checkbox"/> Cited reference copy(ies) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Declaration(s) by Inventor(s) <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Drawing(s) - ___ Sheets <input type="checkbox"/> Compact Disk(s) - ___ CD(s) <input type="checkbox"/> Petition <input checked="" type="checkbox"/> Power of Attorney & Address Indication Form | <input checked="" type="checkbox"/> Certificate Under 37 CFR § 3.73(b) <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Other Enclosure(s) (see remarks): Claim Count <table> <tr> <td>Total Claims</td> <td></td> <td>- 20 =</td> <td></td> <td>Extra Claims</td> <td></td> <td>New Claim No.</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td></td> <td>- 3 =</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table> | Total Claims | | - 20 = | | Extra Claims | | New Claim No. | | Independent Claims | | - 3 = | | | | | |
| Total Claims | | - 20 = | | Extra Claims | | New Claim No. | | | | | | | | | | | | |
| Independent Claims | | - 3 = | | | | | | | | | | | | | | | | |

REMARKS

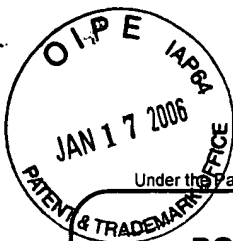
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------------------------------|---|-----------|-----------------|
| Firm or Individual Name (print/type) | Dianne E. Reed, Registration No. 31,292 Reed Intellectual Property Law Group | Telephone | (650) 251-7700 |
| Signature | <i>Dianne E. Reed</i> | Date | January 9, 2006 |

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PTO/SB/81 (09-03)

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INDICATION FORM**

| | |
|------------------------|------------------------------|
| Application Number | 09/576,370 |
| Filing Date | May 22, 2000 |
| First Named Inventor | Robert H. Grubbs |
| Title | Imidazolidine-Based Metal... |
| Art Unit | 1621 |
| Examiner Name | Porfirio Nazario Gonzalez |
| Attorney Docket Number | 1950-0010 |

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23980

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| Country | USA | | | | |
| Telephone | (650) 251-7700 | Fax | (650) 251-7739 | | |

I am the:



Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

| | | | |
|-----------|--------------|-----------|-------------------|
| Name | Adam Cochran | | |
| Signature | | | |
| Date | 12/28/2005 | Telephone | 626-395-8877 4567 |

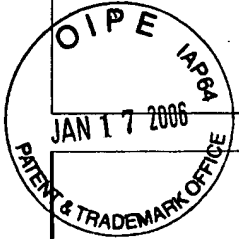
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.



*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE UNDER 37 C.F.R. §3.73(b)

TO THE COMMISSIONER FOR PATENTS

In re application of: Robert H. Grubbs et al.
 Serial No.: 09/576,370
 Filed: May 55, 2000
 For: IMIDAZOLIDINE-BASED METAL CARBENE METATHESIS CATALYSTS

California Institute of Technology, a California corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either

- A. ☒ An assignment from the inventors of the patent application identified above.
 The document was recorded in the Patent and Trademark Office at Reel 015559, Frame 0060.

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California Institute of Technology

December 28, 2005

Date

Name: Adam Cochran

Title: Intellectual Property Counsel